

<b>Notice of References Cited</b>	Applicant/Patent <b>Mauro et al</b>	Application/Control No. <b>09/292,552</b>	
	Examiner <b>Romain Jeanty</b>	Art Unit <b>2163</b>	Page 1 of 1

### U.S. PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>	
A	6,092,056	7/2000	Tull, Jr. et al.	705	36
B	6,115,698	9/2000	Tuck et al.	705	37
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	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Country	Name	Classification <sup>2</sup>	
N	EP0407026A2	9/1991	EP	Silverman	----	----
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### NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	Personal Finance " Quicken Deluxe for Windows, 1997, Intuit Inc.
V	Kulkosky "Making connexions in off-exchange trading" 10/1993; Wall Street & Technology, New York. NPL (Proquest).
W	"Future Stock Exchange Need Integrating"; 5/1997, Newsbyte; Dialog file 16, Accession No. 05015967.
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\* A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>1</sup> Dates in MM-YYYY format are publication dates.

<sup>2</sup> Classifications may be U.S. or foreign.